

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mark Dausch et al.  
Title: KNOWLEDGE MANAGEMENT SYSTEM AND METHODS FOR CRUDE OIL REFINING  
Serial No.: 10/615,431  
Art Unit: TBD  
Filing Date: July 7, 2003  
Examiner: TBD  
Date: October 7, 2003

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed items are not enclosed as the Office hereby waives the requirement under 37 CFR 1.98.

- [X] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [X] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- [ ] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- [ ] a. The required Certification made in item 5 below; or
- [ ] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

By Adrienne Fagan  
Adrienne Fagan

Date: October 7, 2003

[ ] 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

- a) The required Certification is stated in item 5 below; and
- b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

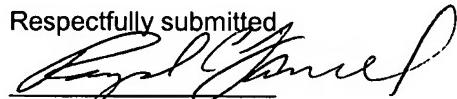
[ ] 5. Certification

- [ ] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [ ] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

[ ] 6. Payment of all applicable fees:

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. \_\_\_\_\_.  
Enclosed is a check in the amount of \$\_\_\_\_\_ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted  
  
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REF/af



Sheet 1 of 1

<p><b>Form PTO-1449</b></p> <p><b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b></p> <p>(Use several sheets if necessary)</p>	<p>Docket Number</p> <p>135541 (1306-22)</p> <p>Applicant</p> <p>Mark Dausch et al.</p>	<p>Application Number</p> <p>10/615,431</p>
	<p>Filing Date</p> <p>July 7, 2003</p>	<p>Group Art Number</p> <p>Not Yet Assigned</p>

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

## **OTHER DOCUMENTS**

**Examiner**

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.